

3/PRTS

PCT/EP99/08074  
0991830622  
JC18 Rec'd PCT/PTO 27 APR 2001~~Description~~

Surface acoustic wave arrangement having at least two surface acoustic wave structures

5

The invention relates to surface acoustic wave arrangements having at least two surface acoustic wave structures which are adjacent in the main wave propagation direction and in which the period of the fingers in the first surface acoustic wave structure is different to that of the fingers in the second surface acoustic wave structure, and/or they are shifted in phase with respect to one another.

In addition to the normal propagation losses, broadband losses occur, due to partial conversion of the surface acoustic wave into volume waves, at the junction between two surface acoustic wave structures which are different or are phase-shifted with respect to one another. The conversion losses in this case increase as the metallization height increases. This is described, for example, in an article by Yasuo Ebata, "SUPPRESSION OF BULK-SCATTERING LOSS IN SAW RESONATOR WITH QUASI-CONSTANT ACOUSTIC REFLECTION PERIODICITY" in Ultrasonics Symposium 1988, pp. 91-96.

This situation occurs, in particular, when

- the two lattice elements (surface acoustic wave structures) differ in terms of period length, metallization ratio and/or layer thickness, or
- the distance between the two surface acoustic wave structures is chosen such that the two lattice elements are phase-shifted with respect to one another.

With many filter techniques, such discrepancies from perfect periodicity are essential for the method of operation of the filter (for example: DMS filter). It has thus been proposed, in DE 42 12 517, that the junction between the two surface acoustic wave structures be designed to be quasi-periodic. However,

this technique has been found to be sufficiently successful only if the relative difference between the speeds of the surface acoustic wave and the interfering volume wave is considerably greater than the relative useful bandwidth of the filter, as is the case, for example, with narrowband IF filters on quartz. This is the only situation where the interference of the parasitic volume wave with the transfer function is outside the pass band of the filter and thus does not interfere with the filter response.

However, low-loss filters having a broader bandwidth are required for telecommunications networks based on the EGSM Standard or for PCS/PCN.

DMS filters (double mode surface acoustic wave filters) are frequently used as low-loss, broadband filters with high selectivity for RF purposes, for example on a  $42^\circ$  <sup>rot</sup>~~red~~ YX-LiTaO<sub>3</sub> substrate or on a  $36^\circ$  <sup>rot</sup>~~red~~ YX-LiTaO<sub>3</sub>.

One example of a simple single-track DMS filter is illustrated schematically in figure 1. This filter in this case comprises a track having input transducers E1 and E2, which are arranged between two reflectors R1 and R2, and the output transducer A. The connections for the input and output transducers can also be interchanged, with A then representing the input transducer, and E1, E2 the output transducers. It is also possible to connect the output transducer, or else the output transducers, of this one track to the input transducer, or input transducers, of a second, parallel track. This allows the selectivity of the filter to be increased.

DMS filters have two separate resonant frequencies within one acoustic track, which define a transmission band. The left-hand edge of the transmission band is governed by the lattice period, while the right-hand edge comes about due to the resonance between two mutually shifted surface acoustic wave structures (input and output transducers). In

comparison to a periodic lattice, these two structures have been shifted through a  $\Delta x$  of approximately  $\lambda/4$

with respect to one another. The distance  $\Delta x$  in this case relates to the finger centers of adjacent (electrode) fingers of the surface acoustic wave structures. In practice, one of the two end fingers is preferably broadened by approximately  $\lambda/4$ , as is shown in figure 1 for the output transducer A at the junction to the two input transducers E1 and E2. This is done in order to fill the gap between the two structures with a metallized surface, since any surface leakage wave is carried better under a metallized surface.

This results in a structure having a greatly broadened finger, which has a considerably greater local lattice period  $p$  (defined by the distance between the center points of the two free surfaces to the left and right of the finger) than the other fingers. This represents a considerable disturbance with the periodic lattice. Figure 2 shows, schematically, the profile of the finger period  $p$  in the region of the junction between two such transducer structures (surface acoustic wave structures) plotted against the position coordinate  $x$ , the propagation direction of the surface acoustic waves.

In mobile radio systems (for example GSM, nominal bandwidth 25 MHz) which have been used until now, it has admittedly been possible to identify the acoustic losses in the form of volume wave emission at the structure junctions, but this has not been so severely pronounced for the provision of low-loss filters to be possible. However, broader bandwidths will be used in future mobile radio systems, in order to provide more channels (for example EGSM, nominal bandwidth 35 MHz).

Normally, the bandwidth of surface acoustic wave filters is increased by increasing the metallization layer thicknesses and reducing the number of fingers. Both measures increase the losses at the junctions between the structures. In practice, these losses result in a reduction in the Q-factor of

the transducer/transducer resonance, which defines the right-hand

band edge, and thus in a reduction in the upper pass band range.

Particularly in the case of EGSM filters, the influence of the losses is so great that the reduction in the upper pass band must be compensated for by means of additional, external matching elements. The external matching can admittedly reduce the amount of ripple in the pass band, but a significant remaining disadvantage is the increased insertion loss of such filters resulting from the losses at the junctions. The specification required for EGSM, for example, can also only partially be satisfied. External matching networks are, furthermore, always associated with additional costs, weight, surface area on the circuit and production complexity, and are thus undesirable for most users.

Sub A1 → ~~The object of the present invention is thus to provide low-loss broadband filters which avoid the disadvantages mentioned above.~~

Sub A2<sup>20</sup> → ~~According to the invention, this object is achieved by a surface acoustic wave arrangement as claimed in claim 1. Further refinements of the invention are described in the dependent claims.~~

The invention results in a reduction in the transmission losses of the filter, which is evident in the improved insertion loss and, in particular, in the upper half of the pass band. The useful bandwidth is thus increased, and there is no need for any external matching networks.

30 When designing broadband, low-loss surface acoustic wave filters (for example RF filters for EGSM or PCS/PCN on 42° <sup>rot</sup> ~~red~~ YX-LiTaO<sub>3</sub>), the inventors found that additional losses, in the form of conversion to volume waves, also occur in a quasi-periodic lattice if the local period at the junction is greater than in the two structures on both sides of the junction. In contrast to a hard transition,

with an abruptly increased finger period, this conversion does not, however, occur below a characteristic onset frequency, which is inversely proportional to the local period of the lattice.

5           The losses at the junctions between surface acoustic wave structures with a different phase and/or a different finger period are thus considerably reduced, or entirely avoided, in that, according to the invention, a finger period which is less than the  
10 finger period of the adjacent surface acoustic wave structures is used at the junction points. This results in the onset frequency for volume wave emission being above the desired transmission band of the filter. The junction between the surface acoustic wave structures  
15 is quasi-periodic, that is to say a quasi-periodic structure is formed in the region of the wave junction between two surface acoustic wave structures, which quasi-periodic structure forms a continuous transition between the finger period  $p$  and/or the phase of the  
20 first surface acoustic wave structure in that of the second surface acoustic wave structure.

Depending on the extent of the discontinuity at the junction, a quasi-periodic junction region is formed having a sufficiently large number of fingers. A  
25 total of 3-4 fingers at the ends of each surface acoustic wave structure has been found to be sufficient to suppress the losses even for very severe discontinuities. The junction region should not necessarily be chosen to be greater than is required to  
30 avoid losses since, otherwise, this will have a negative effect on the transmission response of the filter.

The desired junction according to the invention is achieved if the finger period of the first surface  
35 acoustic wave structure initially decreases continuously in the junction region and finally rises continuously again until the finger period of the second surface acoustic wave structure is reached.

For the purposes of the invention, the term surface acoustic wave structure covers both interdigital transducers and reflectors. Junctions may therefore occur, and be designed according to the invention, not only between two interdigital transducers, but also between an interdigital transducer and a reflector, and between two reflectors. The invention can thus advantageously be used, in particular, for DMS filters and single-port resonators. In the latter, the reflectors have a different (greater) finger period than the interdigital transducer.

The finger width and the finger spacing are reduced continuously in order to reduce the finger period in the junction region.

The choice of a metallization ratio  $\eta$  ( $\eta$  = ratio of the metallized surface to the unmetallized surface area within one period of the surface acoustic wave structure) between 0.7 and 0.8 reduces the speed of the surface leakage wave, as a result of which the separation between the onset frequency for volume wave conversion and the pass band is further increased. This therefore also reduces the influence of volume wave losses.

Reduced transmission losses are likewise achieved if the reflectors of the surface acoustic wave arrangement are connected to ground, since this results in a considerable reduction in the lossy exchange of charge within the reflector.

Sub A3 30 ~~The invention will be explained in more detail in the following text, with reference to exemplary embodiments and the five associated figures, in which:~~

Figure 1 shows a known single-track DMS filter.

35

Figure 2 shows the profile of the finger period of the known filter (see figure 1) along the position coordinate.

9



Figure 3 shows the profile of the finger period for a surface acoustic wave arrangement according to the invention.

- 5 Figure 4a shows two surface acoustic wave structures having a hard transition, in which,

10 Figure 4b a surface acoustic wave arrangement having a junction according to the invention between two surface acoustic wave structures is shown for comparison.

- Sub  
A4 > 15 ~~Figure 5 shows a comparison of the pass characteristic of filters according to the invention and known filters, based on measured curves.~~

Figure 1 shows a known single-track DMS filter, in which two parallel-connected input transducers E1, E2, with an output transducer A between them, are arranged between two reflectors R1 and R2. In and Out denote the electrical connections for the input and output. The finger period  $p$ , which is a measure of the distances between the fingers, is defined in the following text as the distance which extends from the center of the free space between two fingers to the center of the next free space between two adjacent fingers. In the illustrated DMS filter, the output transducer A is shifted with respect to the two input transducers E1 and E2, with the finger period in each case having a discontinuity at the E1/A and A/E2 junctions between two mutually shifted transducers.

35 Figure 2 shows the profile of the finger period for these transducers in the region of the junction between transducer E1 and the transducer A. The shift of the two transducers with respect to one another is expressed in an abruptly rising finger period which then falls, likewise abruptly, once again to a constant value. The same hard transition can be seen between the

two transducers A and E2 which have been shifted with respect to one another. The two outer end fingers of the transducer A have been broadened in order to fill the gap between the two structures with a metallized

11

surface. The disadvantages which result from such a discontinuity in the profile of the finger period at the junction between two surface acoustic wave structures (in this case two transducers) have already  
5 been explained in the introduction.

Figure 3 shows the profile of the finger period  $p$  for a surface acoustic wave arrangement according to the invention, in the region of the junction between a first surface acoustic wave structure St1 and a second  
10 surface acoustic wave structure St2. The finger period  $p$  varies continuously in the region of the junction, where it also has a lower value than in either of the two structures St1 or St2. Outside the junction region, that is to say within the two structures St1 and St2,  
15 the finger period assumes a constant value, which may differ from one surface acoustic wave structure to another surface acoustic wave structure. The surface acoustic wave structure may in this case be an interdigital transducer or a reflector, in which case  
20 the junction may occur between a transducer and a transducer, or between a transducer and a reflector.

Figure 4 uses an exemplary embodiment to show how the junction between two mutually shifted surface acoustic wave structures St1 and St2 may be configured  
25 according to the invention. For comparison, figure 4a shows a known hard transition, as has already been described with reference to figure 1. In this case, one of the two boundary fingers has been broadened. Figure 4b shows the junction designed according to the  
30 invention. The finger period  $p$  has in this case been reduced continuously over the last three fingers of the structure St1, and likewise rises continuously once again over the outermost three fingers in the adjacent structure St2. This arrangement considerably reduces  
35 the scattering losses at the junction between the two structures, in comparison to a known arrangement with a hard transition.

Furthermore, a junction designed according to the invention and, for example, as shown in figure 4b, is simple to manufacture since neither the

finger widths nor the finger spacings differ too severely from "normal" finger widths and finger spacings.

Figure 5 shows the transmission curves for three surface acoustic wave filters which have surface acoustic wave structures that have been shifted with respect to one another. The DMS filter illustrated in figure 1 is used as an example, whose transmission response is shown by the transmission curve 1 in figure 5. The transmission curve 2 is obtained for a DMS filter as shown in figure 1, but having a continuous finger period profile. In this structure, the finger at the end is not broadened, but the greater separation between the two structures on both sides of the junction is distributed over the respective outermost three fingers, so that a locally increased finger period occurs at the junction. As can clearly be seen from the measured curve 2, a DMS filter designed in this way has an even worse transmission response than the filter shown in figure 1. The transmission curve 3, on the other hand, was measured with a DMS filter designed according to the invention, in which the finger period in the region of the junction of the two mutually shifted transducers (surface acoustic wave structures) has been reduced, with an additional finger being inserted, in comparison to the SAW filter which is known from figure 1. In this case, both the finger width and the finger spacing decrease continuously toward the junction, in both transducers. As figure 5 shows, such a filter designed according to the invention has a better transmission response, which is indicated by reduced attenuation and a more uniform transmission curve. The reduced attenuation, particularly in the region of the right-hand edge of the transmission curve, is achieved by the reduced scattering losses in the region of the junction.

Further parameters for designing DMS filters are specified as an exemplary embodiment in the

following text, these being suitable for the EGSM system which has a nominal bandwidth of 35 MHz at a mid-frequency of 942.5 MHz.

3/12/02  
BS

Lithium tantalate  $\text{LiTaO}_3$  with a  $42^\circ$  ~~rot~~ <sup>rot</sup> YX crystal cut is used as the substrate. The metallization for the surface acoustic wave structures is applied with a thickness which amounts to 9 to 11 percent of the associated wavelength, for example 420 nm. This provides the required bandwidth of 35 MHz. The right-hand flank of the transmission curve of the filter, which is a result of the resonance between two transducers shifted through a value  $\Delta x$  with respect to one another, can be said to comply with the EGSM Specifications by selecting  $\Delta x = (0.25 \pm 0.05)\lambda$ . The quasi-periodic transition of the finger period between the two shifted transducers can be distributed over a total of five to eight fingers. The total number of electrode fingers in transducer A (see figure 1) is preferably chosen to be in the range from 27 to 35, and the total number of fingers in the transducers E1 and E2 to be in the range from 20 to 24. This results in a filter which is optimized in terms of ripple and flank gradient.

The selectivity required for EGSM is obtained with a filter having two tracks whose junction is designed according to the invention. The aperture is chosen to be between  $50 \times \lambda$  and  $70 \times \lambda$ , in order to obtain input and output impedances of  $50\Omega$ .

The entire filter with a surface acoustic wave arrangement according to the invention may also be used in embodiments which can be operated symmetrically/asymmetrically. This means, inter alia, a filter in which an asymmetric signal is present at the input or at the output, that is to say in which one of the two connections is carrying a signal, while the other is connected to ground. At the other end of the filter, a symmetrical signal is present at the two connections, which has the same absolute amplitude at both connections, but with the opposite mathematical sign, or a phase difference of  $180^\circ$ .

16

A further application of the invention is in DMS filters which can be operated symmetrically/asymmetrically and in which the input and



output impedance differ. The input or output impedance can be adjusted by weighting or by vertical or horizontal splitting of the transducers into transducer element structures, as is proposed, for example, in the  
5 two prior German Patent Applications 197 24 258.8 and 197 24 259.6.

It is also possible for the distances between the central interdigital transducer (A) and the two  
10 outer interdigital transducers (E1, E2) to be of different magnitude.

A further refinement relates to a filter which is in the form of a two-track arrangement, with the finger periods  $p$  of the reflectors (R) in the two  
15 tracks being of different magnitude.

The invention can likewise be used in a resonator filter in which the junction between a mutually shifted transducer and reflector is designed according to the invention. For example, a reactance  
20 filter comprising a number of single-port resonators connected in series and/or in parallel and having a junction between the different finger periods ( $p$ ) of the interdigital transducer and reflector can be formed in at least one single-port resonator.